

4 CASE REPORT

5 Rare insights into SPAX5: integrating genetic  
6 evidence and whole-exome sequencing with  
7 progressive clinical features

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9 ABSTRACT

10 **Background:** Spastic ataxia type 5 (SPAX5) is a rare autosomal recessive neurodegenerative disorder that is  
11 characterized by a progressive combination of spasticity, cerebellar ataxia, and difficulties with fine motor  
12 coordination. This report aims to highlight the clinical profile, diagnostic findings, and genetic aspects of  
13 SPAX5, emphasizing the value of Whole-exome sequencing in diagnosing rare hereditary disorders.

14 **Case Presentation:** In this report, we present the clinical progression, findings, and genetic classification of a  
15 47-year-old male diagnosed with spastic ataxia type 5, who, over the past decade, has experienced worsening  
16 balance instability, weakness, and increasing walking disturbances. Upon follow-up, he presented with gaze  
17 palsy and a noticeable decline in cognitive function. Family history noted a cousin aged 60 with similar symp-  
18 toms, consistent with the autosomal recessive inheritance pattern characteristic of SCA5.

19 **Genetic analysis:** Whole exome sequencing (WES) revealed a homozygous likely pathogenic variant in the  
20 AFG3L2 gene, confirming the diagnosis of SPAX5. This discovery emphasizes the genetic etiology of the dis-  
21 ease and underscores the role of familial inheritance in its pathogenesis and progression.

22 **Conclusion:** This case stresses the value of genetic testing, particularly WES, in diagnosing disorders like SPAX5,  
23 which often present with nonspecific clinical manifestations and overlap with other neurodegenerative disor-  
24 ders. It also further illustrates the importance of community-based genetic studies to better understand the  
25 inheritance patterns of rare hereditary disorders and to enhance management strategies.

26 **Keywords:** Spastic Ataxia Type 5, autosomal recessive inheritance, AFG3L2 gene, neurodegenerative disorder,  
27 whole exome sequencing, case report.

28 Introduction

29 Hereditary spastic ataxias are a distinct subgroup of  
30 cerebellar ataxias, characterized by a predominant  
31 combination of ataxia and spasticity. These conditions  
32 typically present with early-onset symptoms that  
33 progressively worsen, ultimately leading to significant  
34 impairments in locomotion and cognitive function (1).  
35 Given their clinical overlap with other neurological  
36 disorders, accurately identifying the specific spastic ataxia  
37 phenotype is crucial for achieving diagnostic precision.  
38 However, the individual rarity and genetic heterogeneity  
39 of these pathologies complicate the genetic diagnosis,  
40 rendering it both challenging and time consuming.

41 Spastic ataxia type 5 is a rare autosomal recessive  
42 neurodegenerative disorder primarily affecting the  
43 cerebellum (1). It is characterized by a combination of  
44 progressive cerebellar ataxia, abnormal eye movements,

45 and dysarthria, alongside additional hallmark features. 45  
46 The disease typically manifests in early adulthood with 46  
47 symptoms such as instability, impaired balance, muscle 47  
48 stiffness, and coordination difficulties, which gradually 48  
49 worsen over time. As the disorder progresses, patients 49

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50 may also develop lower limb spastic paraparesis,  
51 motor degeneration, peripheral neuropathy, dysmetria,  
52 dysdiadochokinesia, and, in some cases, cognitive  
53 changes.

54 SPAX5 is caused by variations in the *AFG3L2* gene,  
55 located on chromosome 18p11, which encodes a  
56 protein that forms part of the m-AAA protease complex  
57 (2). This complex plays a critical role in maintaining  
58 mitochondrial protein quality control by degrading  
59 misfolded or damaged proteins. Variants in the *AFG3L2*  
60 gene impair the m-AAA protease's function, resulting in  
61 the accumulation and aggregation of damaged proteins.  
62 These aggregates disrupt key mitochondrial processes,  
63 such as ATP production, and induce oxidative stress,  
64 leading to mitochondrial dysfunction, particularly in  
65 neurons in the cerebellum and corticospinal tracts,  
66 contributing to the clinical manifestations of the disease  
67 (3,4).

68 The rarity of SPAX5, its clinical overlap with other  
69 conditions such as SCA28,(2) combined with its  
70 inherent clinical complexity, presents difficulties in its  
71 diagnosis and management, underscoring the need for  
72 a multidisciplinary approach. Despite these obstacles,  
73 advances in genetic testing modalities, particularly  
74 Whole Exome Sequencing (WES), have been  
75 instrumental in enabling the early detection and precise  
76 subtype classification of SPAX5, thereby supporting the  
77 development of targeted therapeutic strategies.

78 In this context, we present the clinical progression and  
79 diagnosis of SPAX5, emphasizing the role of genetic  
80 testing techniques, such as WES, not only in diagnosing  
81 the primary condition but also in detecting variants that  
82 could influence other aspects of the patient's health.  
83 Additionally, this case highlights the genetic etiology of  
84 SPAX5 and illustrates the importance of understanding  
85 familial inheritance in diagnosing rare genetic disorders.  
86 Finally, it reinforces the need for community-based  
87 genetic studies and widespread genetic screening  
88 to establish a broader understanding of the disease,  
89 refine diagnostic criteria, and develop more effective  
90 management strategies.

91 **Case Presentation**

92 Patient is a 47-year-old male with a 10-year history of  
93 progressive loss of balance, weakness, and walking  
94 disturbances. His medical history was unremarkable  
95 with no prior surgery, seizures, or other chronic illnesses.  
96 Neurological examination demonstrated dysmetria,  
97 dysdiadochokinesia, fasciculations in the tongue and  
98 dysarthria, and impaired facial muscle control with visible  
99 tension and discoordination illustrated in Figure 1. The  
100 patient's clinical manifestations and follow-up findings  
101 are summarized in Table 1. Despite other motor  
102 disturbances, he had normal muscle strength. Upon  
103 follow up, additional symptoms were noted, including  
104 upward gaze palsy and cognitive impairment as difficulty  
105 recalling recent events (Figure 2). The complete set of  
106 clinical features is outlined in Tables 1 and 2. Family  
107 history revealed that his parents were first-degree cousins  
108 from Dumlupinar, and a 60-year-old cousin who exhibited  
109 similar symptoms. Based on phenotype-genotype



**Figure 1.** Facial expression demonstrating muscle tension and discoordination, indicative of impaired facial muscle control.

correlation and database consultation (OMIM), sensory  
ataxic neuropathy, dysarthria, and ophthalmoparesis  
(SANDO) were considered in the differential diagnosis  
before confirmation by whole-exome sequencing (WES).

**Genetic findings**

Whole-exome sequencing identified a primary,  
phenotype-related variant in the *AFG3L2* gene  
(*NM\_006796.2*), described as c.2044G>T (p.Asp682Tyr)  
and classified as a Class 3 variant. The identified genetic  
variants and their clinical significance are summarized in  
Table 2. This variant is associated with Spastic Ataxia  
Type 5 (SPAX5) and is considered relevant to the  
patient's clinical presentation.

Additionally, secondary findings were detected in  
the *HFE* gene (*NM\_000410.4*), including c.845G>A  
(p.Cys282Tyr) and c.187C>G (p.His63Asp). These  
variants are related to phenotypes not directly investigated  
in this study but may have clinical importance for genetic  
counseling and preventive health management. Both are  
classified as Class 1 variants, indicating benign or likely  
benign significance with respect to the current phenotype.

All variants were annotated and reported according  
to Human Genome Variation Society (HGVS)  
recommendations, using the following reference  
sequences: *AFG3L2*—NG\_008058.1 (genomic),  
*NM\_006796.2* (mRNA); and *HFE*—NG\_008720.2  
(genomic), *NM\_000410.4* (mRNA). No incidental  
findings were detected in this patient.

Table 1. Clinical features of the patient with SPAX5 (OMIM #614487).

Category	Feature	Finding/Description
Initial symptoms	Loss of balance	Present, gradual onset
	Weakness	Present, progressive; frequent falls
	Walking disturbances	Present, progressive
Neurological exam	Dysmetria	Present
	Dysdiadochokinesia	Present
	Fasciculations in tongue	Present
	Dysarthria	Present, slurred speech
	Muscle strength	Normal
Follow-up findings	Gaze palsy	Present, impaired upward gaze
	Cognitive impairment	Present, difficulty recalling recent events
	Sensory ataxic neuropathy & ophthalmoparesis (SANDO)	Considered in differential diagnosis



**Figure 2.** Patient exhibits facial asymmetry, ptosis, and a dysarthric expression, reflecting motor disturbances, gaze palsy, and speech difficulties consistent with spastic ataxia type 5.

WES results confirmed the diagnosis of spastic ataxia type 5 (SPAX5) caused by a homozygous variant in the *AFG3L2* gene (c.2044G>T / p.Asp682Tyr, Class 3).

### Discussion

This case report underscores the critical role of WES in the diagnosis of Spastic Ataxia Type 5 (SPAX5), a condition marked by its clinical overlap with other neurodegenerative disorders. The patient's progression from initial balance and coordination difficulties to severe neurological manifestations, including gaze palsy

and cognitive decline, illustrates the progressive and debilitating nature of SPAX5. Notably, the identification of a homozygous likely pathogenic variant in the *AFG3L2* gene not only solidifies the diagnosis but also enhances our understanding of the genetic basis of the disease. This finding is pivotal as variants in *AFG3L2* have been associated with a spectrum of mitochondrial dysfunctions, leading to varied clinical manifestations across different patients.

In addition to the primary finding in *AFG3L2*, our patient was also found to carry a variant in the *HFE* gene. Pathogenic and likely pathogenic variants in *HFE*, particularly p.Cys282Tyr (C282Y) and p.His63Asp (H63D), are known to predispose to hereditary hemochromatosis, an autosomal recessive iron overload disorder (OMIM #235200). Although penetrance is variable, individuals with these variants may be at increased risk of developing hepatic dysfunction, diabetes mellitus, cardiomyopathy, and other complications of iron overload. The clinical significance of the *HFE* variant in our patient should therefore not be overlooked. From a management perspective, periodic monitoring of serum ferritin and transferrin saturation is recommended to detect early evidence of iron accumulation. If biochemical evidence of iron overload emerges, referral to hematology and initiation of therapeutic phlebotomy would be appropriate. Even in the absence of clinical disease, identification of *HFE* variants has implications for genetic counseling and cascade testing of at-risk family members.

Our study significantly contributes to the burgeoning understanding of Spastic Ataxia Type 5 (SPAX5) by presenting a case characterized by a homozygous likely pathogenic variant in the *AFG3L2* gene, thereby corroborating its critical role in the disease's molecular pathogenesis. This aligns with findings by Dosi et al. (2), who emphasized the genetic and phenotypic heterogeneity inherent to SPAX5. SPAX5 is known to be clinically heterogeneous, with patients often presenting in childhood or adolescence with progressive spasticity, cerebellar ataxia, and oculomotor abnormalities. Our patient fits within this spectrum by demonstrating



196 **Table 2.** Genetic findings of the patient with SPAX5 (OMIM #614487) detected by whole-exome sequencing, described according to  
 197 HGVS guidelines.

Finding	Gene	Transcript	Nucleotide change	Protein change	Clinical significance
Primary	AFG3L2	NM_006796.2	c.2044G>T	p.Asp682Tyr	Phenotype-related Associated with SPAX5 (Spastic Ataxia Type 5), Class 3
Secondary	HFE	NM_000410.4	c.845G>A	p.Cys282Tyr	Variant related to another phenotype not investigated, Class 1 variant
Secondary	HFE	NM_000410.4	c.187C>G	p.His63Asp	Variant related to another phenotype not investigated, Class 1 variant

Variants described according to HGVS guidelines using *AFG3L2* reference sequence NG\_008058.1 (genomic), NM\_006796.2 (mRNA), and  
*HFE* reference sequence NG\_008720.2 (genomic), NM\_000410.4 (mRNA).

200 spasticity and progressive gait instability but diverges  
 201 by exhibiting cognitive impairment and gaze palsy  
 202 features that have been less frequently reported and  
 203 not comprehensively characterized in earlier studies.  
 204 This highlights the variability of SPAX5 and broadens  
 205 its recognized clinical phenotype, underscoring the  
 206 importance of considering this diagnosis even in atypical  
 207 or late-onset presentations.

208 These findings expand the recognized clinical spectrum  
 209 of SPAX5, highlighting the necessity of comprehensive  
 210 phenotypic documentation to enhance diagnostic  
 211 accuracy (5,6). Furthermore, the identification of HFE  
 212 gene variants, uncovered via WES, underscores the  
 213 indispensable utility of genomic testing not only in  
 214 pinpointing the primary likely pathogenic variant but  
 215 also in identifying ancillary genetic factors with potential  
 216 implications for patient management.

217 Our findings also resonate with recent literature by  
 218 Ghosh Dastidar et al. (7), who explored the multifaceted  
 219 roles of *AFG3L2* in mitochondrial homeostasis and its  
 220 association with diverse neurodegenerative phenotypes.  
 221 Their work elucidates how variants in *AFG3L2* disrupt  
 222 mitochondrial proteostasis, driving neurodegenerative  
 223 processes, particularly in cerebellar and corticospinal  
 224 regions. This aligns seamlessly with our observations,  
 225 where *AFG3L2* dysfunction likely contributed to the  
 226 neurodegenerative trajectory observed in our patient. By  
 227 integrating these molecular insights with clinical findings,  
 228 our report emphasizes the pivotal role of *AFG3L2* in  
 229 maintaining neuronal integrity and underscores the  
 230 deleterious impact of its dysfunction. This synthesis  
 231 of molecular and clinical perspectives reinforces the  
 232 necessity of integrating advanced genomic diagnostics  
 233 into routine clinical practice for rare neurodegenerative  
 234 conditions like SPAX5.

235 **Conclusion**

236 The case of a 47-year-old male diagnosed with SPAX5  
 237 via WES exemplifies the indispensable role of advanced  
 238 genetic testing in the field of neurology. By confirming the  
 239 specific genetic etiology of the patient's condition, WES  
 240 not only facilitated a precise diagnosis but also informed  
 241 potential familial implications due to the autosomal  
 242 recessive inheritance pattern. This report reaffirms

the necessity of integrating genetic testing into the  
 diagnostic process of rare neurodegenerative disorders,  
 which often present with non-specific symptoms and  
 may mimic other conditions. Future community-based  
 genetic studies should aim to expand our understanding  
 of SPAX5, potentially leading to the development of  
 targeted therapies and management strategies that could  
 significantly improve patient outcomes.

Furthermore, this case promotes the broader application  
 of WES in clinical practice, advocating for its use as a  
 first-line diagnostic tool in similar cases of ambiguous  
 neurodegenerative diseases, thereby enabling more  
 accurate diagnoses and better patient care.

This case presents several novel aspects that expand the  
 current understanding of Spastic Ataxia Type 5 (SPAX5).  
 Our patient's late onset of symptoms contrasts with the  
 predominantly early-onset cases previously described,  
 thereby broadening the recognized age spectrum of  
 disease presentation. Furthermore, the presence of  
 cognitive decline and upward gaze palsy represents  
 a distinct clinical profile, as such features are rarely  
 documented in SPAX5 and suggest more widespread  
 neurodegeneration involving frontal-subcortical circuits  
 and brainstem pathways. In addition, the patient exhibited  
 bulbar features, including tongue fasciculations, facial  
 asymmetry, and dysarthria, indicating possible lower  
 motor neuron involvement and adding to the phenotypic  
 diversity observed in *AFG3L2*-related ataxias. Finally,  
 this represents the first genetically confirmed case of  
 SPAX5 from the Dumlapinar region, underscoring  
 the importance of regional genetic documentation in  
 consanguineous populations. Collectively, these findings  
 highlight the phenotypic and genotypic heterogeneity  
 of SPAX5 and emphasize the value of WES in  
 identifying atypical or late-onset presentations of rare  
 neurodegenerative disorders.

**Author contributions**

Galia Baalbaki: Concepts, literature search, clinical studies,  
 data acquisition, manuscript preparation, and manuscript  
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 Hajira Karim: Concepts, literature search, clinical studies,  
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286 Lima Oria: Concepts, literature search, clinical studies, data  
287 acquisition, manuscript preparation, and manuscript editing.  
288 Muhsin Elmas: Supervision, definition of intellectual content,  
289 data interpretation, manuscript review, final approval of the  
290 version to be published, and guarantor of the work.

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### 295 **Conflict of interest**

296 The authors of this article have no affiliations with or  
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298 interest or non-financial interest in the subject matter or  
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### 300 **Consent for participate**

301 Informed consent was obtained from the patients.

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303 Ethical approval is not required at our institution to publish  
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