

4 CASE REPORT

5 **Rare insights into SPAX5: integrating genetic
6 evidence and whole-exome sequencing with
7 progressive clinical features**

8 Galia Baalbaki¹ , Hajira Karim² , Lima Oria^{3*} , Muhsin Elmas⁴ 

9 **ABSTRACT**

10 **Background:** Spastic ataxia type 5 (SPAX5) is a rare autosomal recessive neurodegenerative disorder that is
11 characterized by a progressive combination of spasticity, cerebellar ataxia, and difficulties with fine motor
12 coordination. This report aims to highlight the clinical profile, diagnostic findings, and genetic aspects of
13 SPAX5, emphasizing the value of Whole-exome sequencing in diagnosing rare hereditary disorders.

14 **Case Presentation:** In this report, we present the clinical progression, findings, and genetic classification of a
15 47-year-old male diagnosed with spastic ataxia type 5, who, over the past decade, has experienced worsening
16 balance instability, weakness, and increasing walking disturbances. Upon follow-up, he presented with gaze
17 palsy and a noticeable decline in cognitive function. Family history noted a cousin aged 60 with similar symp-
18 toms, consistent with the autosomal recessive inheritance pattern characteristic of SCA5.

19 **Genetic analysis:** Whole exome sequencing (WES) revealed a homozygous likely pathogenic variant in the
20 AFG3L2 gene, confirming the diagnosis of SPAX5. This discovery emphasizes the genetic etiology of the dis-
21 ease and underscores the role of familial inheritance in its pathogenesis and progression.

22 **Conclusion:** This case stresses the value of genetic testing, particularly WES, in diagnosing disorders like SPAX5,
23 which often present with nonspecific clinical manifestations and overlap with other neurodegenerative dis-
24 orders. It also further illustrates the importance of community-based genetic studies to better understand the
25 inheritance patterns of rare hereditary disorders and to enhance management strategies.

26 **Keywords:** Spastic Ataxia Type 5, autosomal recessive inheritance, AFG3L2 gene, neurodegenerative disorder,
27 whole exome sequencing, case report.

28 **Introduction**

29 Hereditary spastic ataxias are a distinct subgroup of
30 cerebellar ataxias, characterized by a predominant
31 combination of ataxia and spasticity. These conditions
32 typically present with early-onset symptoms that
33 progressively worsen, ultimately leading to significant
34 impairments in locomotion and cognitive function (1).
35 Given their clinical overlap with other neurological
36 disorders, accurately identifying the specific spastic ataxia
37 phenotype is crucial for achieving diagnostic precision.
38 However, the individual rarity and genetic heterogeneity
39 of these pathologies complicate the genetic diagnosis,
40 rendering it both challenging and time consuming.

41 Spastic ataxia type 5 is a rare autosomal recessive
42 neurodegenerative disorder primarily affecting the
43 cerebellum (1). It is characterized by a combination of
44 progressive cerebellar ataxia, abnormal eye movements,

and dysarthria, alongside additional hallmark features.
The disease typically manifests in early adulthood with
symptoms such as instability, impaired balance, muscle
stiffness, and coordination difficulties, which gradually
worsen over time. As the disorder progresses, patients

45 **Correspondence to:** Lima Oria

46 *Istanbul Medipol University, International School of
47 Medicine, Medical Genetics Department, Istanbul,
48 Turkey.

49 **Email:** lima.oria@std.medipol.edu.tr

*Full list of author information is available at the end of
the article.*

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50 may also develop lower limb spastic paraparesis,
51 motor degeneration, peripheral neuropathy, dysmetria,
52 dysdiadochokinesia, and, in some cases, cognitive
53 changes.

54 SPAX5 is caused by variations in the *AFG3L2* gene,
55 located on chromosome 18p11, which encodes a
56 protein that forms part of the m-AAA protease complex
57 (2). This complex plays a critical role in maintaining
58 mitochondrial protein quality control by degrading
59 misfolded or damaged proteins. Variants in the *AFG3L2*
60 gene impair the m-AAA protease's function, resulting in
61 the accumulation and aggregation of damaged proteins.
62 These aggregates disrupt key mitochondrial processes,
63 such as ATP production, and induce oxidative stress,
64 leading to mitochondrial dysfunction, particularly in
65 neurons in the cerebellum and corticospinal tracts,
66 contributing to the clinical manifestations of the disease
(3,4).

68 The rarity of SPAX5, its clinical overlap with other
69 conditions such as SCA28,(2) combined with its
70 inherent clinical complexity, presents difficulties in its
71 diagnosis and management, underscoring the need for
72 a multidisciplinary approach. Despite these obstacles,
73 advances in genetic testing modalities, particularly
74 Whole Exome Sequencing (WES), have been
75 instrumental in enabling the early detection and precise
76 subtype classification of SPAX5, thereby supporting the
77 development of targeted therapeutic strategies.

78 In this context, we present the clinical progression and
79 diagnosis of SPAX5, emphasizing the role of genetic
80 testing techniques, such as WES, not only in diagnosing
81 the primary condition but also in detecting variants that
82 could influence other aspects of the patient's health.
83 Additionally, this case highlights the genetic etiology of
84 SPAX5 and illustrates the importance of understanding
85 familial inheritance in diagnosing rare genetic disorders.
86 Finally, it reinforces the need for community-based
87 genetic studies and widespread genetic screening
88 to establish a broader understanding of the disease,
89 refine diagnostic criteria, and develop more effective
90 management strategies.

91 Case Presentation

92 Patient is a 47-year-old male with a 10-year history of
93 progressive loss of balance, weakness, and walking
94 disturbances. His medical history was unremarkable
95 with no prior surgery, seizures, or other chronic illnesses.
96 Neurological examination demonstrated dysmetria,
97 dysdiadochokinesia, fasciculations in the tongue and
98 dysarthria, and impaired facial muscle control with visible
99 tension and coordination illustrated in Figure 1. The
100 patient's clinical manifestations and follow-up findings
101 are summarized in Table 1. Despite other motor
102 disturbances, he had normal muscle strength. Upon
103 follow up, additional symptoms were noted, including
104 upward gaze palsy and cognitive impairment as difficulty
105 recalling recent events (Figure 2). The complete set of
106 clinical features is outlined in Tables 1 and 2. Family
107 history revealed that his parents were first-degree cousins
108 from Dumlupinar, and a 60-year-old cousin who exhibited
109 similar symptoms. Based on phenotype-genotype



Figure 1. Facial expression demonstrating muscle tension and coordination, indicative of impaired facial muscle control.

correlation and database consultation (OMIM), sensory
ataxic neuropathy, dysarthria, and ophthalmoparesis
(SANDO) were considered in the differential diagnosis
before confirmation by whole-exome sequencing (WES).

Genetic findings

Whole-exome sequencing identified a primary,
phenotype-related variant in the *AFG3L2* gene
(*NM_006796.2*), described as c.2044G>T (p.Asp682Tyr)
and classified as a Class 3 variant. The identified genetic
variants and their clinical significance are summarized in
Table 2. This variant is associated with Spastic Ataxia
Type 5 (SPAX5) and is considered relevant to the
patient's clinical presentation.

Additionally, secondary findings were detected in
the *HFE* gene (*NM_000410.4*), including c.845G>A
(p.Cys282Tyr) and c.187C>G (p.His63Asp). These
variants are related to phenotypes not directly investigated
in this study but may have clinical importance for genetic
counseling and preventive health management. Both are
classified as Class 1 variants, indicating benign or likely
benign significance with respect to the current phenotype.

All variants were annotated and reported according
to Human Genome Variation Society (HGVS)
recommendations, using the following reference
sequences: *AFG3L2*—*NG_008058.1* (genomic),
NM_006796.2 (mRNA); and *HFE*—*NG_008720.2*
(genomic), *NM_000410.4* (mRNA). No incidental
findings were detected in this patient.

Table 1. Clinical features of the patient with SPAX5 (OMIM #614487).

Category	Feature	Finding/Description
Initial symptoms	Loss of balance	Present, gradual onset
	Weakness	Present, progressive; frequent falls
	Walking disturbances	Present, progressive
Neurological exam	Dysmetria	Present
	Dysdiadochokinesia	Present
	Fasciculations in tongue	Present
	Dysarthria	Present, slurred speech
	Muscle strength	Normal
Follow-up findings	Gaze palsy	Present, impaired upward gaze
	Cognitive impairment	Present, difficulty recalling recent events
	Sensory ataxic neuropathy & ophthalmoparesis (SANDO)	Considered in differential diagnosis



140 **Figure 2.** Patient exhibits facial asymmetry, ptosis, and a
141 dysarthric expression, reflecting motor disturbances, gaze
142 palsy, and speech difficulties consistent with spastic ataxia
143 type 5.

144 WES results confirmed the diagnosis of spastic ataxia
145 type 5 (SPAX5) caused by a homozygous variant in the
146 *AFG3L2* gene (c.2044G>T / p.Asp682Tyr, Class 3).

147 Discussion

148 This case report underscores the critical role of WES
149 in the diagnosis of Spastic Ataxia Type 5 (SPAX5),
150 a condition marked by its clinical overlap with other
151 neurodegenerative disorders. The patient's progression
152 from initial balance and coordination difficulties to
153 severe neurological manifestations, including gaze palsy

154 and cognitive decline, illustrates the progressive and
155 debilitating nature of SPAX5. Notably, the identification
156 of a homozygous likely pathogenic variant in the
157 *AFG3L2* gene not only solidifies the diagnosis but also
158 enhances our understanding of the genetic basis of the
159 disease. This finding is pivotal as variants in *AFG3L2*
160 have been associated with a spectrum of mitochondrial
161 dysfunctions, leading to varied clinical manifestations
162 across different patients.

163 In addition to the primary finding in *AFG3L2*, our patient
164 was also found to carry a variant in the *HFE* gene.
165 Pathogenic and likely pathogenic variants in *HFE*,
166 particularly p.Cys282Tyr (C282Y) and p.His63Asp
167 (H63D), are known to predispose to hereditary
168 hemochromatosis, an autosomal recessive iron overload
169 disorder (OMIM #235200). Although penetrance is
170 variable, individuals with these variants may be at
171 increased risk of developing hepatic dysfunction, diabetes
172 mellitus, cardiomyopathy, and other complications of iron
173 overload. The clinical significance of the *HFE* variant in
174 our patient should therefore not be overlooked. From a
175 management perspective, periodic monitoring of serum
176 ferritin and transferrin saturation is recommended
177 to detect early evidence of iron accumulation. If
178 biochemical evidence of iron overload emerges, referral
179 to hematology and initiation of therapeutic phlebotomy
180 would be appropriate. Even in the absence of clinical
181 disease, identification of *HFE* variants has implications
182 for genetic counseling and cascade testing of at-risk
183 family members.

184 Our study significantly contributes to the burgeoning
185 understanding of Spastic Ataxia Type 5 (SPAX5) by
186 presenting a case characterized by a homozygous
187 likely pathogenic variant in the *AFG3L2* gene, thereby
188 corroborating its critical role in the disease's molecular
189 pathogenesis. This aligns with findings by Dosi et
190 al. (2), who emphasized the genetic and phenotypic
191 heterogeneity inherent to SPAX5. SPAX5 is known to be
192 clinically heterogeneous, with patients often presenting
193 in childhood or adolescence with progressive spasticity,
194 cerebellar ataxia, and oculomotor abnormalities. Our
195 patient fits within this spectrum by demonstrating

196 **Table 2.** Genetic findings of the patient with SPAX5 (OMIM #614487) detected by whole-exome sequencing, described according to
 197 HGVS guidelines.

Finding	Gene	Transcript	Nucleotide change	Protein change	Clinical significance
Primary	AFG3L2	NM_006796.2	c.2044G>T	p.Asp682Tyr	Phenotype-related Associated with SPAX5 (Spastic Ataxia Type 5), Class 3
Secondary	HFE	NM_000410.4	c.845G>A	p.Cys282Tyr	Variant related to another phenotype not investigated, Class 1 variant
Secondary	HFE	NM_000410.4	c.187C>G	p.His63Asp	Variant related to another phenotype not investigated, Class 1 variant

200 Variants described according to HGVS guidelines using AFG3L2 reference sequence NG_008058.1 (genomic), NM_006796.2 (mRNA), and 198
 201 HFE reference sequence NG_008720.2 (genomic), NM_000410.4 (mRNA). 199

200 spasticity and progressive gait instability but diverges 243
 201 by exhibiting cognitive impairment and gaze palsy 244
 202 features that have been less frequently reported and 245
 203 not comprehensively characterized in earlier studies. 246
 204 This highlights the variability of SPAX5 and broadens 247
 205 its recognized clinical phenotype, underscoring the 248
 206 importance of considering this diagnosis even in atypical 249
 207 or late-onset presentations. 250

208 These findings expand the recognized clinical spectrum 251
 209 of SPAX5, highlighting the necessity of comprehensive 252
 210 phenotypic documentation to enhance diagnostic 253
 211 accuracy (5,6). Furthermore, the identification of HFE 254
 212 gene variants, uncovered via WES, underscores the 255
 213 indispensable utility of genomic testing not only in 256
 214 pinpointing the primary likely pathogenic variant but 257
 215 also in identifying ancillary genetic factors with potential 258
 216 implications for patient management. 259

217 Our findings also resonate with recent literature by 260
 218 Ghosh Dastidar et al. (7), who explored the multifaceted 261
 219 roles of *AFG3L2* in mitochondrial homeostasis and its 262
 220 association with diverse neurodegenerative phenotypes. 263
 221 Their work elucidates how variants in *AFG3L2* disrupt 264
 222 mitochondrial proteostasis, driving neurodegenerative 265
 223 processes, particularly in cerebellar and corticospinal 266
 224 regions. This aligns seamlessly with our observations, 267
 225 where *AFG3L2* dysfunction likely contributed to the 268
 226 neurodegenerative trajectory observed in our patient. By 269
 227 integrating these molecular insights with clinical findings, 270
 228 our report emphasizes the pivotal role of *AFG3L2* in 271
 229 maintaining neuronal integrity and underscores the 272
 230 deleterious impact of its dysfunction. This synthesis 273
 231 of molecular and clinical perspectives reinforces the 274
 232 necessity of integrating advanced genomic diagnostics 275
 233 into routine clinical practice for rare neurodegenerative 276
 234 conditions like SPAX5. 277

235 Conclusion

236 The case of a 47-year-old male diagnosed with SPAX5 279
 237 via WES exemplifies the indispensable role of advanced 280
 238 genetic testing in the field of neurology. By confirming the 281
 239 specific genetic etiology of the patient's condition, WES 282
 240 not only facilitated a precise diagnosis but also informed 283
 241 potential familial implications due to the autosomal 284
 242 recessive inheritance pattern. This report reaffirms 285
 243

243 the necessity of integrating genetic testing into the 244 diagnostic process of rare neurodegenerative disorders, 245 which often present with non-specific symptoms and 246 may mimic other conditions. Future community-based 247 genetic studies should aim to expand our understanding 248 of SPAX5, potentially leading to the development of 249 targeted therapies and management strategies that could 250 significantly improve patient outcomes. 251

251 Furthermore, this case promotes the broader application 252 of WES in clinical practice, advocating for its use as a 253 first-line diagnostic tool in similar cases of ambiguous 254 neurodegenerative diseases, thereby enabling more 255 accurate diagnoses and better patient care. 256

256 This case presents several novel aspects that expand the 257 current understanding of Spastic Ataxia Type 5 (SPAX5). 258 Our patient's late onset of symptoms contrasts with the 259 predominantly early-onset cases previously described, 260 thereby broadening the recognized age spectrum of 261 disease presentation. Furthermore, the presence of 262 cognitive decline and upward gaze palsy represents 263 a distinct clinical profile, as such features are rarely 264 documented in SPAX5 and suggest more widespread 265 neurodegeneration involving frontal–subcortical circuits 266 and brainstem pathways. In addition, the patient exhibited 267 bulbar features, including tongue fasciculations, facial 268 asymmetry, and dysarthria, indicating possible lower 269 motor neuron involvement and adding to the phenotypic 270 diversity observed in AFG3L2-related ataxias. Finally, 271 this represents the first genetically confirmed case of 272 SPAX5 from the Dumlupinar region, underscoring 273 the importance of regional genetic documentation in 274 consanguineous populations. Collectively, these findings 275 highlight the phenotypic and genotypic heterogeneity 276 of SPAX5 and emphasize the value of WES in 277 identifying atypical or late-onset presentations of rare 278 neurodegenerative disorders. 279

280 Author contributions

281 Galia Baalbaki: Concepts, literature search, clinical studies, 282 data acquisition, manuscript preparation, and manuscript 283 editing. 284

285 Hajira Karim: Concepts, literature search, clinical studies, 286 data acquisition, manuscript preparation, and manuscript 287 editing. 288

286 Lima Oria: Concepts, literature search, clinical studies, data
287 acquisition, manuscript preparation, and manuscript editing.
288 Muhsin Elmas: Supervision, definition of intellectual content,
289 data interpretation, manuscript review, final approval of the
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295 **Conflict of interest**

296 The authors of this article have no affiliations with or
297 involvement in any organization or entity with any financial
298 interest or non-financial interest in the subject matter or
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300 **Consent for participate**

301 Informed consent was obtained from the patients.

302 **Ethical approval**

303 Ethical approval is not required at our institution to publish
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307 **Author details**

308 Galia Baalbaki¹, Hajira Karim¹, Lima Oria^{1*}, Muhsin Elmas¹
309 1. Istanbul Medipol University, International School of
310 Medicine, Medical Genetics Department, Istanbul, Turkey

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